



# Descriptive Epidemiology of U.S. Air Force Aviators Referred for Evaluation of Obstructive Sleep Apnea

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# Disclaimer

• The views expressed are those of the author and do not necessarily reflect the official policy or position of the Air Force, the Department of Defense, or the U.S. Government.

# Epidemiology of Obstructive Sleep Apnea

# **Obstructive Sleep Apnea (OSA)**

- Characterized by repeated episodes of upper airways obstruction during sleep
- Causes significant disruption in sleep through apnea-related asphyxias
- Prevalence of OSA in general worldwide population 9%-38%
  - 10% to 17% in U.S. adult population
  - Increases with age and body mass index (BMI)
  - Male > female
  - Underdiagnosed/undertreated
- Increasing prevalence rates may be associated with increasing BMI in population, as well as increase testing, and changes in disease definitions
- Associated with medical comorbidities and performance decrement
  - Higher rates of motor vehicle accidents in those with OSA



# OSA in the U.S. Military

### Impacts operational readiness, performance, and wellbeing

- Encounters for OSA in U.S. military personnel increased 517% from 2005 to 2014
- 30,000 incident cases in U.S. military in 2013
- Greatest increases in:
  - Men
  - >40 yr old
  - Black race
  - Senior officer
  - Army personnel
- OSA often comorbid with other sleep disorders
  - High rate of comorbid insomnia
- Increased enlistment BMI associated with increased risk of developing OSA during career
- Limited information on military aircrew
  - 5.2% of waivers in Army aviators



## OSA in the U.S. Air Force

OSA is disqualifying for all flying classes
FCI/IA, II, RPA, III, MOD, GBC



- Initial dx work-up, Wilford Hall, 88<sup>th</sup> Med Group or academic laboratory
- FC II (except FS) with documented sleep d/o Aeromedical Consultation Service (ACS) evaluation
- No waiver potential for FCI

\*\* While OSA does not limit the majority of USAF assignments, evaluation and continued monitoring of aviators through the waiver process are very important to prevent untreated OSA and its complications.

Ref: USAF Waiver Guide

## Untreated OSA can lead to:

### **Cardiac complications**

- Hypertension
- Cardiac arrythmias
- Pulmonary hypertension
- Right-sided congestive heart failure

### **Neurocognitive Deficits**

- Memory
- Attention
- Executive tasks
- Increase risk for depression

### Aeromedical risk

- Sudden incapacitation
- Disruption in duties
- Progression to cardiac or neurologic complications



Untreated OSA in the aviator can severely impact the mission

# USAF Waiver Recommendation Requirements

- 1. Effective <u>therapy</u> documented on repeat polysomnogram (PSG)
  - RDI <5
- 2. Resolution of sleep-related symptoms
- 3. Demonstrate excellent compliance
  - CPAP usage 90% nights, ≥ 5 h per night
  - Compliance data for 30 days
- 4. Pass Maintenance of Wakefulness Test (MWT) at ACS
- 5. Neuropsych testing at ACS for severe OSA



Benutzer:DL5MDA [Public domain], from Wikimedia Commons

Ref: USAF Waiver Guide

Cleared, 88PA, Case # 2019-xxxx, xx Mar 2019.

### AFRL

## Case 1 – Compliance Misinterpretation

- 39-yr-old male U-2 pilot, >5000 h
- 2018: presented with sleep complaints, poor concentration ESS=10/24
- PSG showed mild OSA (AHI 8.7/h, RDI 9.0/h)
- 30-day compliance report Oct 2018 showed:
  - Excellent compliance (100% nights, 6 h 26 min on average)
  - Good control, AHI 1.8/h, ESS=0/24
- HOWEVER, MISUNDERSTOOD compliance instructions
  - Thought he just needed to wear for 30 days, then only before flying
    - Average usage before ACS evaluation 1 h/ night, 16% of nights >4 h
  - When using CPAP 1x week, ESS is 6/24, Insomnia severity index = 9/28
  - Failed first MWT, sleeping at 37 min
  - DNIF, to return after continued CPAP compliance

\*\* Appointment instructions for all ACS OSA evaluations state to reinforce the requirement for continued use of CPAP to the member.



# Case 2 – Severe OSA with Neurocog Deficits

• 47-yr-old male E-8 pilot, >4000 h

AFRI

- Has been evaluated 4 times by ACS for severe OSA with cognitive deficits
- First PSG in 2010, dx OSA, elected to have UPPP, sleep worsened after surgery
- Repeat PSG in 2011 dx moderate OSA RDI=23/h, O2 nadir 87%, started on CPAP
- Evaluated by ACS in 2012, controlled/compliant with CPAP, MWT negative
  - BUT: Neuropsych testing revealed deficits consistent with OSA DNIF
    - **↓** attention, planning, abstraction, and processing speed
- With increased CPAP compliance and overall sleep time, neuropsych deficits improved to the point of being waiverable for 3 yr
- Reassessed by ACS in 2015 excellent compliance (near 100%) neuropsych deficits improved
  - waivered x 3 yr
- Reassessed by ACS 2018 excellent compliance (100%, avg 7 h 24 min)
  - PSG AHI 100.4/h, O2 to 85%, sleep efficiency 70%, MWT neg dx severe OSA
  - Neurospych testing stable from 2015 recommend 3-yr waiver



## **Sleep Disorders Submitted for Waiver**



Ref: USAF Waiver Guide, AIMWTS data through 2017

#### AFRL

# Aircrew Waivers by Flying Class through 2017 (n=1312)



#### AFRL

## Number of Aircrew Waiver Evaluations Including OSA (2001-2018)



# Analysis of Sleep Medicine Clinic/ACS Patients

# Methods



- Retrospective chart review
- Examine sleep medicine clinical records and PSG data of members referred to the ACS at Wright-Patterson Air Force Base for evaluation of OSA from 2009-2018
- Charts consisted of focused medical record, PSG results, questionnaires, and compliance data
- The research protocol was reviewed and approved by the AFRL Institutional Review Board

# Demographics (n=103)

- 100% male
- 88% Caucasian
- Mean age = 42 ± 6.8 yr
- 90% had BMI in overweight/obese range
  - 46% obese
  - Mean BMI = 29.2 ± 3.8 kg/m<sup>2</sup>









• 62% Pilots

• 76% Senior Officers

### AFRL

# Primary Aircraft Type of Pilots Referred for OSA (n=64)



### AFRL



# Polysomnogram Data (n=103)



- Mean RDI = 29.1 ± 24.9 per hour
- Mean oxygen nadir = 87.7% ± 4.4%



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# Comorbid Sleep Symptoms (n=81)

- 41% had <u>subjective</u> symptoms of at least one other sleep disorder including:
  - Parasomnias
  - Restless leg syndrome
  - Insomnia

% with Other Sleep Symptoms on Questionnaire



# CPAP Compliance Data (n=74)



## DATA

- Sample of aviators referred to ACS
- Demographics representative of overall sample
- Average nightly use = 6 h 36 min ±
   68 min
- Mean data days =  $60 \pm 43$ 
  - Wide variation of data due to differences in days required

*CPAP usage 90% nights* 

■≥ 5 h per night

Compliance data for 30 days

# Discussion

# **Key Points**

- Majority of aviators referred to ACS for OSA are:
  - Male
  - Caucasian
  - Active duty
  - Senior officers
  - Pilots
  - Heavy aircraft
  - From ACC, AMC, ANG
  - Age
  - BMI > Normal
- 86% are compliant with CPAP when referred to ACS
- Highlights the need for electronic data collection (questionnaires, sleep studies)



# Limitations

- Questionnaires contained subjective data
- Not all PSGs were completed at Wright Patt/88<sup>th</sup> Med Group variability in diagnostics
- Small sample size due to availability of data
- Change in CPAP compliance requirements over time period

## **Further Research**

- Larger sample size/review of AIMWTS data
- Electronic collection of survey data, sleep scales
- Analysis of comorbid conditions among those waivered for OSA
- Further analysis of association of demographic characteristics with:
  - Diagnosis of OSA
  - Severity of OSA
  - Compliance
- Comorbidity with other sleep disorders, medical conditions



# Thank you!

# Questions?

Pre-Selection UPT class 2019